



ARIS DPG PATHFINDER® INSTALLATION GUIDE

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This document applies to ARIS Version 10.0 and to all subsequent releases.

Specifications contained herein are subject to change and these changes will be reported in subsequent release notes or new editions.

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1 Introduction

The DPG Pathfinder® data set, created by DP Governance Ltd., is a comprehensive risk assessment framework to assess an organization's ability to meet regulatory data protection requirements and to protect their data throughout all business operations. It consists of two sets of questions:

- The GDPR questionnaire, structured according to the articles of GDPR.
- The data security questionnaire in general, structured in six data protection risk categories, such as data governance or information security.

Prerequisites

- ARIS Connect and ARIS Risk & Compliance Manager are running.
- All relevant runnables are in **STARTED** state.
- The **system** user has all required privileges. (The **system** user has all required privileges by default, unless an administrator revoked the privileges.)
- You have access to the unzipped data of **DPG GDPR.zip** and/or **DPG Pathfinder.zip**.

2 Install the DPG GDPR questionnaires

The DPG GDPR questionnaires can be used to assess if an organization is prepared for GDPR. The questionnaire models can be used with ARIS Risk & Compliance Manager Survey management. However, this is only possible if you adhere to the methodological and functional rules and conventions for modeling in ARIS Architect. Only then all modeled data can be transferred to ARIS Risk & Compliance Manager and reused there. For example, the organizations to be assessed and the interviewees must be correctly modeled. For detailed information, refer to the Survey Management Conventions manual and the online help of ARIS Risk & Compliance Manager.




2.1 Restore the DPG GDPR questionnaire database

Import the DPG GDPR questionnaire database for ARIS. It contains the DPG GDPR questionnaires as an ARIS model.

Prerequisites

See introduction (page 1).

Procedure

1. Start ARIS Architect.
2. Log in as **system** user.
3. Click **ARIS >**  **Explorer**. The **Explorer** tab opens.
4. Click  **Navigation** in the bar panel if the **Navigation** bar is not activated yet.
5. In the Explorer tree, right-click your connection to the ARIS Server, then select  **Restore Database**. The Restore Wizard opens.
6. Click **Select**.
7. Navigate to the directory in which the unzipped data of **DPG GDPR.zip** is stored.
8. Select the path **<DPG GDPR>\Databases**.
9. Click the database file **SAG DPG GDPR Data Set v1.1 082017.adb**.
10. Click **Open**.
11. Click **Finish**.

The database is available.

2.2 Apply questionnaire models for survey management

To synchronize the DPG GDPR questionnaires with ARIS Risk & Compliance Manager, add the required objects and models in ARIS Architect, such as organizations to be assessed and interviewees, then execute **Synchronize ARCM**. For detailed information on this procedure and the modeling conventions, refer to the online help of ARIS Risk & Compliance Manager and the **Survey Management Conventions** manual.

3 Install DPG Pathfinder questionnaires and dashboards

The DPG Pathfinder® questionnaires can be used to assess the data protection capabilities of an organization. They are structured in a variety of risk categories, so-called wedges and their shards. The results are displayed in ARIS Aware dashboards (**DPG Survey Overview** and **DPG Survey Issues**).

The questionnaire models can be used with ARIS Risk & Compliance Manager Survey Management. However, this is only possible if you adhere to the methodological and functional rules and conventions for modeling in ARIS Architect. Only then all modeled data can be transferred to ARIS Risk & Compliance Manager and reused there. For example, the organizations to be assessed and the interviewees must be correctly modeled. For detailed information, refer to the Survey Management Conventions manual and the online help of ARIS Risk & Compliance Manager.




3.1 Restore the DPG Pathfinder® questionnaire database

Import the DPG Pathfinder® database for ARIS. It contains the DPG Pathfinder® questionnaires as an ARIS model.

Prerequisites

See introduction (page 1).

Procedure

1. Start ARIS Architect.
2. Log in as **system** user.
3. Click **ARIS >**  **Explorer**. The **Explorer** tab opens.
4. Click  **Navigation** in the bar panel if the **Navigation** bar is not activated yet.
5. In the Explorer tree, right-click your connection to the ARIS Server, then select  **Restore Database**. The Restore Wizard opens.
6. Click **Select**.
7. Navigate to the directory in which the unzipped data of the **DPG Pathfinder.zip** is stored.
8. Select the path **< DPG Pathfinder > \Databases**.
9. Click the database file **SAG DPG Pathfinder Data Set v1.1 082017.adb**.
10. Click **Open**.
11. Click **Finish**.

The database is available.

3.2 Apply questionnaire models for survey management

To synchronize the DPG GDPR questionnaires with ARIS Risk & Compliance Manager, add the required objects and models in ARIS Architect, such as organizations to be assessed and interviewees, then execute **Synchronize ARCM**. For detailed information on this procedure and the modeling conventions, refer to the online help of ARIS Risk & Compliance Manager and the **Survey Management Conventions** manual.



3.3 Import dashboards into ARIS Connect

Import dashboards into the ARIS Connect repository.

Prerequisites

See introduction (page 1).

Procedure

1. Start ARIS Connect.
2. Log in as **system** user.
3. Click  **Repository**.
4. Click **Dashboards & Data feeds**.
5. Click  **Import**. The corresponding dialog opens.
6. Click **Select import file**.
7. Navigate to the directory in which the unzipped data of the **DPG Pathfinder.zip** is stored.
8. Select the path **<DPG Pathfinder>\Dashboards\AWARE export**.
9. Click the file **DPG+Survey+Issues.zip**. The file is in **ZIP** format and can contain one or more dashboards. The file also includes the dashboard permissions.
10. Click **Open**. The **File upload** dialog is closed.
11. To replace the dashboards available in the repository, enable **Overwrite if already exists**.
12. To import the dashboard permissions contained in the dashboard file, enable **Import privileges**. This option is activated by default.
13. Click **Import**.
14. Repeat steps 5 to 13 for the file **DPG+Survey+Overview.zip**.

The **DPG Pathfinder** dashboards and the related data feeds are imported. The dashboards **ARCM - DPG Survey Issues** and **ARCM - DPG Survey Overview** are displayed in the dashboard list. The data feeds are displayed under **Data Feeds**.





3.4 Create a modification set and provide dashboards

Create a DPG modification set.

Prerequisites

- See introduction (page 1).
- Your ARIS Server is enabled for ARIS Aware, that is, the **dashboarding** runnable is activated and running (see ARIS Server **Installation Guide** or **ARIS Cloud Controller (ACC) Command-Line Tool**).
- A valid server license for ARIS Aware (**YCSAW**) ARIS Connect Server extension pack is available in ARIS Administration.

Procedure

1. Start ARIS Connect.
2. Log in as **system** user.
3. Click your name > **Administration**. The **ARIS Administration** opens.
4. Click **Portal** > **Manage configuration sets**. All available configuration and modification sets are displayed. The current configuration or modification set is marked as **(active)**. You can edit custom modification sets only.
5. Click  **Edit**.
6. Select the **classic (System)** configuration set or the configuration that is usually used.
7. Click **Create** in the **Configuration and modification sets** area. The **Create modification set** dialog opens.
8. Enter the name for the modification set, for example, **classicDPG_dashboards**.
9. Select the **classic** template.
10. Click **Create**. The modification set is created. It contains all elements from the selected template.
11. Move the mouse pointer over the **classicDPG_dashboards** modification set and click  **Edit**. The **Define modification set** page opens.
12. Click **Fact sheets**. All items available for fact sheets are displayed.
13. Navigate to the **riskCategory** item.
14. Move the mouse pointer over the **riskCategory** item and click  **Edit**. The **Subordinate sheets (content)** page opens. The items in the different areas are displayed.
15. Click **Add**. The **Add subordinate sheet** dialog opens.
16. Select **Dashboards**.
17. Click **Add**.
18. Move the mouse pointer over **Dashboards** and click  **Edit**. The **Dashboards** page opens.
19. Click **Add**. The **Add Dashboard** dialog opens.
20. Copy the name of **ARCM - DPG Survey Issues**.

21. Paste **ARCM - DPG Survey Issues** from the clipboard to the **Name** field of the relevant languages.
22. Enable the databases that are to display the dashboard.
23. Click **Add**.
24. Repeat steps 17 - 22 for the dashboard **ARCM - DPG Survey Overview**.

The DPG configuration is activated and the DPG dashboards are available. For detailed information on the administration of dashboards, refer to the chapter **Manage dashboards** in the ARIS Connect help.









3.5 Create a DPG dashboards user group in ARIS Connect

Create a new user group for DPG dashboard users. License privileges can be assigned through the group or directly to the user. You can assign dashboard access privileges through the group or directly to the user. The type of assignment is displayed in user management.

Prerequisites

See introduction (page 1).

Procedure

1. Start ARIS Connect.
2. Log in as **system** user.
3. Click  **User management** and select **User groups**. The list of user groups opens.
4. Click  **Add user group**.
5. Enter the name **DPG Dashboard**. You must use exactly this name, to make sure the dashboards are displayed to the assigned users. If a user group that already exists in the LDAP system is created, the user group names must match. This is the only way to assign an existing ARIS user group with the LDAP user group.
6. Enter an optional description.
7. Click **Save**. The user group is created.
8. Click **Associated users**.
9. Click  **Edit assignment**. The **Associate users** dialog opens.
10. Add all users you want to assign to the group. Use the  **Filter** to limit the list of users, based on specific search criteria.
Enable the check boxes of the relevant items in the box of the available items, then click  **Add**. The items are transferred to the box of the associated items. To remove users/user groups from the **Associated users/user groups** box, enable the check boxes of the relevant users/user groups in this box, and click  **Remove**. To associate all available items, click  **Add all**, and click  **Remove all** to remove all items.

The **DPG Dashboard** group is created with the relevant users. The associated users can now use the DPG dashboards.

3.6 Define an alias URL for the DPG Pathfinder® dashboards

Defining an alias URL for the DPG Pathfinder® dashboards enables you to shorten the link used in dashboards and data feeds. If you use an alias, you do not have to enter the entire URL, but only the path to the location where the data is stored.

Prerequisites

- See introduction (page 1).
- Your ARIS Server is enabled for ARIS Aware that is, the **dashboarding** runnable is activated and running (see **ARIS Server Installation Guide** or **ARIS Cloud Controller (ACC) Command-Line Tool**).
- A valid server license for **Aware (YCSAW) ARIS Connect Server** extension pack is available in ARIS Administration.

Procedure

1. Start ARIS Connect.
2. Log in as **system** user.
3. Click your name > **Administration**. The **ARIS Administration** opens.
4. Click **Dashboards**.
5. Click **+ Add configuration**. The **Add property** dialog opens.
6. Enter the following properties:
 - a. Name: **ARCM**
You must use exactly this name, to make sure the dashboards are displayed to the assigned users.
 - b. Protocol: **http**
 - c. Host: <name of your server>
 - d. Port: <load balancer port>
 - e. User: leave this field empty
 - f. Password: leave this field empty
 - g. Click **Save**.

The alias URL **ARCM** for the DPG Pathfinder® dashboard is defined.

4 Glossary

In the glossary you will find explanations of basic technical terms.

DPG

DP Governance Ltd. (DPG) is a London-based company with comprehensive expertise in the field of data protection.

GDPR

The **General Data Protection Regulation** (GDPR) protects individuals' personal data within the European Union. It also regulates the export of personal data outside the EU. GDPR is a regulation by the European Parliament, the Council of the European Union, and the European Commission.

PROCESSING ACTIVITY

A processing activity is any operation which is performed on individuals' personal data, such as collection, recording, disclosure by transmission, and therefore is subject to the General Data Protection Regulation (GDPR (page 9)).

Processing activities and associated information are modeled in **Processing activity description diagram** models and **Record of processing activities** models in ARIS Architect. For detailed information, refer to the **GDPR Conventions for ARIS Accelerators** guide.

5 Legal information

5.1 Documentation scope

The information provided describes the settings and features as they were at the time of publishing. Since documentation and software are subject to different production cycles, the description of settings and features may differ from actual settings and features. Information about discrepancies is provided in the Release Notes that accompany the product. Please read the Release Notes and take the information into account when installing, setting up, and using the product.

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SOFTWARE AG EMPOWER PORTAL

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Support site **Empower**. If you do not yet have an account for **Empower**, send an e-mail to empower@softwareag.com (mailto:empower@softwareag.com) with your name, company, and company e-mail address and request an account.

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- To submit feature/enhancement requests, get information about product availability, and download products, go to Products.

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